

DAVID VOGEL

Professor of the Graduate School, University of California, Berkeley, July, 2015 -

Professor Emeritus, Haas School of Business, 2015 -

Professor Emeritus, Department of Political Science, 2015 -

Solomon P. Lee Professor Emeritus of Business Ethics, 2015 -

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Education: B.A., Queens College, 1967
Ph.D., Princeton University, Department of Politics, 1974

Other Academic Positions:

Jean Monnet Chair, BP Chair in Transatlantic Relations, European University Institute;
Visiting Professor: INSEAD, Hebrew University, Stanford University, Bren
School at UCSB, Sciences Po. Visiting researcher: University Paris-Est,
International Research Fellow, Oxford University Centre for Corporate Reputation,
2009-

Honors:

Lynton Keith Caldwell best book award for California Greenin' Organized section on
Science, Technology, and Environmental Politics, the American Political Science
Association, 2019

Elinor Ostrom Lifetime Career Achievement Award for research on environmental
politics and policy, Organized section on Science, Technology, and Environmental
Politics, American Political Science Association, 2017

Lynton Keith Caldwell best book award for The Politics of Precaution, Organized
section on Science, Technology, and Environmental Politics, the American Political
Science Association, 2014

Charles H. Levine Memorial Book Prize for The Politics of Precaution, the
International Political Science Association's Research Committee on the Structure of
Governance, 2013

Best Book Award for The Politics of Precaution, Organizations & the Natural
Environment Division, Academy of Management, 2012

Leonard Robbins Best Paper Award, Organized Section on Health Politics and
Policy, American Political Science Association, 2012

Faculty Pioneer Award for Lifetime Achievement, Business and Society Program, Aspen Institute, 2010

Best Book Award for The Market for Virtue, Social Issues Division, Academy of Management, 2008

PUBLICATIONS

Books

California Greenin': How the Golden State Became an Environmental Leader Princeton University Press, 2018.

The Politics of Precaution: Regulating Health, Safety, and Environmental Risks in Europe and the United States. Princeton University Press, 2012

Transatlantic Regulatory Cooperation: The Shifting Roles of the EU, the US and California (co-edited with Johan Swinnen), Edward Elgar, 2011

Global Challenges in Responsible Business (co-edited with Craig Smith, C.B. Bhattacharya, David Levine), Cambridge University Press, 2010

What's the Beef? The Contested Governance of European Food Safety (co-edited with Chris Ansell), MIT Press, 2006

The Market for Virtue: The Potential and Limits of Corporate Social Responsibility Brookings Institution Press, 2005; Paperback with new preface, 2006
Korean translation, 2005; Japanese translation, 2008; French translation, 2008.
(Excerpted: California Management Review, Summer, 2005; Notizie di Politeia, XIII, N.85/86, 2007; Corporate Social Responsibility Crane, Matten and Spence eds. Routledge, 2008.)

The Dynamics of Regulatory Change: How Globalization Affects National Regulatory Policies (co-edited with Robert Kagan), University of California Press, 2004

Israel: The Dynamics of Change and Continuity, (co-edited with David Levi-Faur and Gabriel Sheffer), Frank Cass, 1999

Benefits or Barriers? Regulation in Transatlantic Trade Brookings Institution Press, 1998

Kindred Strangers: The Uneasy Relationship Between Business and Politics in America Princeton University Press, 1996

Trading Up: Consumer and Environmental Regulation in a Global Economy Harvard University Press, 1995

Fluctuating Fortunes: The Political Power of Business in America Basic Books, 1989
Reprinted: Beard Books, 2003

National Styles of Regulation: Environmental Policy in Great Britain and the United States
Cornell University Press, 1986. Reprinted: Beard Books, 2003

Corporations and their Critics: Issues and Answers on the Problems of Corporate Social Responsibilities (co-edited with Thornton Bradshaw), McGraw Hill, 1980

Ethics in the Education of Business Managers (with Charles Powers), The Hastings Center, Institute of Society, Ethics and Life Sciences, 1980

Lobbying the Corporation: Citizen Challenges to Business Authority Basic Books, 1978

Ethics and Profits: The Crisis of Confidence in American Business (with Leonard Silk), Simon & Schuster, 1976

Scholarly Articles and Essays

“California’s Environmental Leadership” in Oxford Handbook on Comparative Environmental Politics Jeannie Sowers, Stacy Vandevener, Erika Weinthal, eds. forthcoming

“The Effects of Mandatory and Voluntary Regulatory Pressures on Firms’ Environmental Strategies: A Review and Recommendations for Future Research (with J. Alberto Aragon-Correa, and Alfred Marcus) Academy of Management Annals Vol. 14. 1, 2020

“Promoting Sustainable Government Regulation: What We Can Learn from California” Organization & Environment Vol. 32, Issue 2, June 2019

“The Role of Policy Learning and Reputation in Regulatory Excellence,” in Achieving Regulatory Excellence Cary Coglianese, ed Brookings Institution Press, 2017

“The Ethics of Risk Management in the European Union and the United States; A Comparative Perspective ,” Ethics and Risk Management, Lina Svedin, ed. Information Age, 2015

“Global Trade Linkages: National Security and Human Security,” in Linking Trade and Security; Evolving Institutions and Strategies in Asia, Europe, and the United States, Vinod Aggarwal and Kristi Govella, eds. Springer, 2013

“The Private Regulation of Global Corporate Conduct: Achievements and Limitations,” Business and Society March 2010

“Taming Globalization: Civil Regulation and Corporate Capitalism ,” The Oxford Handbook of Business and Government Coen, Grant and Wilson, eds. Oxford University Press, 2010

“Trading Places: The Role of the US and the EU in International Environmental Politics,” (with R. Daniel Keleman) Comparative Political Studies April 2010

“The Private Regulation of Global Corporate Conduct,” The Politics of Global Regulation Walter Mattli and Ngaire Woods, eds. Princeton University Press, 2009

“Private Global Business Regulation,” Annual Review of Political Science Levi, Jackman, Rosenblum, eds, Volume 11, 2008

“Corporate Responsibility, Government and Civil Society,” (co-authored with Jeremy Moon), Oxford Handbook of Corporate Social Responsibility, Crane, McWilliams, Matten, Moon, and Seigel, eds, Oxford University Press, 2008

“International Trade and Environmental Regulation,” in Environmental Policy: New Directions for the Twenty-First Century Norman Vig and Michael Kraft, eds. (sixth edition) Congressional Quarterly Press, 2006

“Opportunities for and Limitations of Corporate Environmentalism,” Environmental Protection and the Social Responsibility of Firms: Perspectives from Law, Economics, and Business, Bruce Hay, Robert Stavins and Richard Vietor, eds. Resources for the Future, 2005

“Environmental Federalism in the European Union and the United States,” (with Michael Toffel and Diahanna Post), A Handbook of Globalization and Environmental Policy: National Government Interventions in A Global Arena, Frank Wiken, Kees Zoeteman and Jan Pieters, eds. Edward Elgar, 2005. Revised second edition, 2012

“Trade and Environment in the Global Economy: Contrasting European and American Perspectives,” Green Giants? Environmental Policy of the United States and the European Union, Norman Vig and Michael Faure, eds. MIT Press, 2004.

“Risk Regulation in Europe and the US,” The Yearbook of European Environmental Law Volume 3, H. Somsen, ed. Oxford University Press, 2003

“Tracing the American Roots of the Political Consumerism Movement,” Politics, Products and Markets: Exploring Political Consumerism Past and Present, Michele Micheletti, Andreas Follesdale, Dietlind Stolle, eds Transaction Press, 2003

“The Hare and the Tortoise Revisited: The New Politics of Consumer and Environmental Regulation in Europe,” British Journal of Political Science Vol. 33, Part 4, October 2003 Reprinted in: Environmental Risk Volume II, John Applegate, ed. Ashgate, 2004; Environmental Policy in the European Union, (second edition) Andrew Jordan, ed. Earthscan, 2005; Regulation and Regulatory Processes, Cary Coglianese and Robert Kagan, eds. Ashgate Publishing Limited, 2007. Revised version published in TransAtlantic Policymaking In an Age of Austerity Martin Levin and Martin Shapiro, eds. Georgetown University Press, 2004

“The Protestant Ethic and the Spirit of Environmentalism: Exploring the Cultural Roots of Contemporary Green Politics,” Zeitschrift für Umweltpolitik und Umweltrecht (Journal of Environmental Law and Policy), Vol. 3, 2002

“International Trade and Environmental Regulation,” Environmental Policy: New Directions for the 21st Century Norman Vig and Michael Kraft, eds. (fifth edition) Congressional Quarterly Press, 2002

"Comparing Risk Regulation in the United States and France: Asbestos, AIDS and Genetically Modified Agriculture," (with Jabril Bensedrine) French Politics, Culture & Society, Spring 2002

"Policy Process: Business Participation," International Encyclopedia of the Social and Behavioral Sciences Neil Smelser and Paul Baltes, eds, Pergamon, Oxford Press, 2001

"The Changing Character of Regulation: A Comparison of Europe and the United States," (with Ragnar Lofstedt) Risk Analysis Vol. 21, no. 3, 2001

"Is There a Race to the Bottom? The Impact of Globalization on National Regulatory Policies," The Tocqueville Review / La Revue Tocqueville Vol. XXII, no. 1 – 2001. Reprinted in: Critical Perspectives on the Global Trading System and the WTO, Spencer Henson, ed. Edward Elgar Publishing, 2005; Globalisierung und Perspektiven internationaler Verantwortung, Meier-Walser, Stein, eds. Hans Seidel Stiftung, 2004

"How Green is Judaism? An Exploration of Jewish Environmental Ethics," Business Ethics Quarterly; April, 2001; Revised version: Judaism, Winter, 2001. Reprinted in Religious Perspectives on Business Ethics, Thomas O'Brien and Scott Paeth, eds Roman and Littlefield, 2007

"The Post-War Liberal Trade Regime: Resilience Under Pressure," in Seeking the Center: Politics and Policymaking at the New Century, Martin Levin, Marc Landy and Martin Shapiro, eds. Georgetown University Press, 2001

"Environmental Regulation and Economic Integration," Journal of International Economic Law, June 2000; Expanded version: Regulatory Competition and Economic Integration: A Comparative Perspective, Daniel Esty and Damien Gredin, (eds.) Oxford University Press, 2000

"The Environment and International Trade," Journal of Policy History, Vol. 12, No. 1, 2000

"The Triumph of Liberal Trade: American Trade Policy in the Post-War Period," in Taking Stock: American Government in the Twentieth Century, Morton Keller and R. Shep Melnick, eds. Cambridge University Press, 1999

"International Trade and Environmental Regulation," in Environmental Policy Norman J. Vig and Michael E. Kraft, eds. (fourth edition) Congressional Quarterly Press, 1999

"Israeli Environmental Policy In Comparative Perspective," Israel Affairs, (Winter-Spring, 1999). Reprinted in Israel: The Dynamics of Change and Continuity, David Levi-Faur, Gabriel Sheffer and David Vogel, eds. Frank Cass, 1999

"Patterns of Compliance With Environmental Regulation," (with Tim Kessler) in Engaging Countries: Strengthening Compliance with International Environmental Accords, Edith Brown Weiss and Harold Jacobson, eds. MIT Press, 1998.

"The Globalization of Pharmaceutical Regulation," Governance, January, 1998.

- "EU Environmental Policy and the GATT / WTO," in Global Competition and EU Environmental Policy, Jonathan Golub, ed. Routledge, 1998.
- "Environmentally Related Trade Disputes in North America," (with Alan Rugman). American Review of Canadian Studies, Summer, 1997.
- "Trading Up and Governing Across: Transnational Governance and Environmental Protection", Journal of European Public Policy, December, 1997
- "Trouble for Us and Trouble for Them: Social Regulations as Trade Barriers," Comparative Disadvantages? Social Regulations and The Global Economy Pietro Nivola ed. Brookings Institution, 1997. Excerpted: "Social Regulations as Trade Barriers," Brookings Review, Winter, 1998
- "International Trade and the Environment," Environmental Policy in the 1990s, Norman Vig and Michael Kraft, eds. (Third Edition), Congressional Quarterly Press, 1996.
- "Environmental Policy in the European Community" in Environmental Politics in the International Arena: Movements, Parties, Organizations, and Policy, Sheldon Kamieniecki ed. SUNY Press, 1993. Reprinted in Policy Issues in the European Union, Mehmet Ugar, ed. Greenwich University Press, 1995; Revised versions: "The Making of EC Environmental Policy," in Making Policy In Europe: The Europeification of National Policy-making, Svein Anderson and Kjell Eliassen eds. London: Sage Publications, 1993; "Environmental Protection and the Creation of A Single European Market," Business and the Contemporary World, Winter, 1993.
- "The Politics of Smoking Regulation: A Comparative Perspective," (with Robert Kagan), in Smoking Policy: Law, Politics and Culture, Stephen Sugerman ed. Oxford University Press, 1993. Revised version: "Political Culture and Tobacco Control: An International Comparison," (with Robert Kagan and Timothy Kessler) Tobacco Control: An International Journal, Winter 1993.
- "New York City as a Global and National Financial Center," in Capital of the American Century: The National and International Influence of New York, Martin Shefter, ed. Russell Sage Foundation, 1993.
- "Representing Diffuse Interests: Environmental Policy," in Do Institutions Matter? Government Capabilities in the United States and Abroad, Kent Weaver and Bert Rockman eds. Brookings Institution, 1993.
- "The Globalization of Business Ethics: Why America Remains Distinctive," California Management Review, Fall, 1992. Revised versions: "Differing National Approaches to Business Ethics," Business Ethics: A European Review, July, 1993; "Is U.S. Business Obsessed with Ethics? Across the Board, November/December, 1993.
- "The Public Interest Movement and American Trade Policy," in Environmental Politics: Public Costs, Private Rewards, Michael Greve and Fred Smith, eds. New York: Praeger Publishers, 1992.

"Consumer Protection and Protectionism in Japan," Journal of Japanese Studies, Vol. 18, No. 1, 1992. Reprinted in Comparative Science and Technology Policy Shelia Jasanoff, ed. Elgar Reference Collection, 1997

"When Consumers Oppose Consumer Protection: The Politics of Regulatory Backlash," Journal of Public Policy, Vol. 10, No. 4 (1991).

"The Ethical Roots of Business Ethics," Business Ethics Quarterly, January 1991.
Revised versions: "Business Ethics Past and Present," Public Interest, Winter 1991;
"Business Ethics: New Perspectives on Old Problems," California Management Review, Summer, 1991.

"Environmental Policy in Western Europe and Japan," Environmental Policy in the 1990s, Norman Vig and Michael Kraft eds., Congressional Quarterly Press, 1990.

"AIDS and the Politics of Drug Lag," Public Interest, Summer 1989.

"Political Science and the Study of Corporate Power: A Dissent from the New Conventional Wisdom," British Journal of Political Science, Vol. 17, part 4, 1987. Excerpted: "The New Political Science of Corporate Power," Public Interest, Spring 1987.

"Government-Industry Relations in the United States: An Overview," in Comparative Government-Industry Relations, Stephen Wills and Maurice Wright, eds. Oxford: Clarendon Press, 1987.

"The Comparative Study of Environmental Policy: A Review of the Literature," in Comparative Policy Research: Learning from Experience, M. Dierkes, H. Weiller and A. Antal, eds. London: Gower, 1987.

"The International Economy and the Common Good," in The Common Good and U.S. Capitalism, O.F. Williams and J.W. Houck, eds. University Press of America, 1987.

"A Big Agenda," Wilson Quarterly, Autumn 1987.

"The Political Impact of the Large Corporation: A Legislative History of Federal Clean Air Policy, 1963-1981," in B. Bock et al. eds. The Modern Corporation: Size and Impacts, Columbia University Press, 1984.

"Cooperative Regulation: Environmental Protection in Great Britain," Public Interest, Summer 1983.

"Comparing Policy Styles: Environmental Protection in the United States and Great Britain," Public Administration Bulletin, August, 1983.

"The Power of Business in America: A Re-Appraisal," British Journal of Political Science, January 1983.

"The New Social Regulation in Historical and Comparative Perspective," in Thomas McGraw, ed. Regulation in Perspective: Historical Essays, Harvard University Press, 1981.

"The Public Interest Movement and the American Reform Tradition," Political Science Quarterly, Winter 1980-1981.

"The Inadequacy of Contemporary Opposition to Business," Daedalus, 109:3 (Summer 1980). Reprinted: Across the Board, December 1980.

"Why Businessmen Mistrust Their State: The Political Consciousness of American Corporate Executives," British Journal of Political Science, VIII:1 (January 1978). Excerpted: The Center Magazine, November-December 1977.

"Who Is a Consumer? An Analysis of the Politics of Consumer Conflict" (with Mark Nadel), American Politics Quarterly, January 1977.

"The Corporation as Government: Challenges and Dilemmas," Polity, Fall 1975. Excerpted: Social Policy, May-June 1974.

Other Publications (Selected)

The Diffusion of Public and Private Sustainability Regulations, Etsuyo Michida, John Humphrey and David Vogel, eds. Edward Elgar, 2021

"CSR Needs CPR: Corporate Sustainability and Politics: (co-authored) California Management Review, Summer, 2018

"A standards rollback," Los Angeles Times August 5, 2018

"How California Turned Green into Gold" San Francisco Chronicle April 27, 2018

"Does Socially Responsible Investment Make Financial Sense? No" Wall Street Journal February 29, 2016

Review of "Cheap and Clean: How Americans Think about Energy in an Age of Global Warming," Global Environmental Politics February, 2016

"An Insider's Tale" Review of "The Evolution of a Corporate Idealist: When Girl Meets Oil" Stanford Social Innovation Review Summer, 2014

"Corporate America puts its own price on carbon," Institute for Business and Social Impact, Blog, Haas School of Business, January, 2014

"Response to Jonathan B. Wiener and R. Daniel Kelemen" Regulation & Governance Vol. 7, Issue 2, June 2013

"A More Sophisticated Understanding of the Politics of Precaution," European Journal of Risk Regulation Vol. 4. No. 2, 2013

Review of "Public Forces and Private Politics in American Big Business," Perspectives on Politics December, 2013

“Two and One-Half Cheers for Conscious Capitalism,” (with James O’Toole)
California Management Review Spring 2011

“A Framework for Policy-making on Trade, Agricultural Biotechnology and Sustainable Development,” (with Peter Newell and Eduardo Trigo) International Centre for Trade and Sustainable Development, Geneva Switzerland, 2008

“The Sears Solution,” “ Let’s Not be Too Cynical,” in Creative Capitalism: A Conversation, Michael Kinsley and Conor Clarke, eds. Simon and Schuster, 2008

“CSR Doesn’t Pay,” Forbes.com October 16, 2008

“Socially Responsible Lobbying” Harvard Business Review February 2008

“Closer Economic Ties Between the US and Europe: Regulatory Cooperation and Protective Regulation,” A report prepared for the Bertelsmann Foundation for a project on Transatlantic Relations, April 2007. Available on web.

“Good corp. / bad corp.” Los Angeles Times February 13, 2007. Reprinted: “When Do ‘Good’ Firms Go ‘Bad’?” BSR Weekly March 13, 2007,

“Capitalism 3.0” Stanford Innovation Review, Winter 2007,

“Apple’s iPod and the Market for Virtue” San Francisco Chronicle August 14, 2006.

“Serving up criticism of food giants,” San Francisco Chronicle December 3, 2006,

“Teaching Pigs to Fly and Other Frustrating Pursuits,” Learning Perspectives Spring, 2006,

“Preface,” Francesco Perrini, Stefano Pogutz and Antonio Tencati, Developing Corporate Social Responsibility: A European Perspective, Edward Elgar, 2006

“Des entreprises responsable? Les Limites de la Vertu,” Sciences Humaines March-April-May, 2006 (translated)

“The limits of the market for virtue,” Ethical Corporation September 2005

“The Low Value of Virtue,” Harvard Business Review June 2005

“Recycling Corporate Responsibility,” Wall Street Journal August 20, 2002, p. B2. Expanded version: “The Split Personality of Corporate America,” The Responsive Community Winter 2002/03; Translated: “Incitations constructives et incitations perverses dans l’entreprise aux Etats-Unis,” Ethique, October 2003; Revised version: Forum Focus Winter 2002,

“Regulation at Home and Abroad,” Regulation, Spring 2002

“France, the United States, and the Biotechnology Dispute,” (with Olivier Cadot) U-S-

France Analysis, Foreign Policy Studies, Brookings Institution, January 2001

"The Hass Avocado Case: A Political Science Perspective," in Incorporating Science, Economics, and Sociology in Developing Sanitary and Phytosanitary Standards in International Trade National Academy Press, 2000

"The WTO: The Wrong Whipping Boy," American Prospect June 5, 2000

"The Study of Business and Politics," California Management Review Spring, 1996

"Reconciling Free Trade with Responsible Regulation," Issues in Science and Technology Fall, 1995.

"The Study of Social Issues in Management: A Critical Appraisal," California Management Review, Winter 1986.

"America's Management Crisis," New Republic, February 7, 1981.

"How to Put Humpty Together Again," New York Review of Books, June 11, 1981.

"Business's New Class Struggle," Nation, December 15, 1979.

Work in Progress

"Risk Regulation and American Federalism: The Politics of Preemption" under review by Regulation & Governance

"Business Support for Climate Change Regulations" in Corporate Political Responsibility Thomas Lyon, Eric Orts and William Laufer. Ed. Cambridge University Press

PROFESSIONAL ACTIVITIES (Selected)

Editor, California Management Review, 1982-

Associate editor, Regulation and Governance, 2009 – 2011; Executive board, 2011-

Editorial advisory board, European Journal of Risk Regulation, 2011- ; Asia-Pacific Business Review Journal, 2011- Corporate Governance and Sustainability Review 2017-

Member, Editorial Board, Journal of Policy History, Business and Politics, Journal of Public Affairs, Labor History, Ethique, Journal of Risk Research, Cambridge University Press Series on Business and Public Policy,

Board member, Alliance for Research on Corporate Sustainability 2010-2019

American Political Science Association: Trust and Development Board (2010-2012); elected Council Member (2004-2006); Gladys M. Krammerer Book Award Committee (1986); Best Paper Award Committee (1990); James Madison Book Award Committee (1991); Harold D. Lasswell Award Committee (2000); Hubert Humphrey Award Committee

(2003) Theodore J. Lowi Award Book Award Committee (2018)

Politics and History Section, APSA: J. David Greenstone Book Award Committee (1995, 2002, 2008, 2015); Program Co-chair (1998); Nominations Committee (2006),

Science, Technology and Environmental Politics Section, APSA: Lynton Caldwell Book Award Committee (2015, 2020),

Moderator, Aspen Institute Seminars, 1995, 1996, 1997, 2000, 2001, 2003, 2009

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